

image

1632

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Charles L. Sawyers et al.  
**Serial No.:** 10/067,705  
**Filed:** February 4, 2002  
**Docket:** 30435.53USD7  
**Title:** PURIFIED HUMAN PROSTATE CANCER CELLS

**CERTIFICATE UNDER 37 CFR 1.8**

I hereby certify that this paper or fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on September 26, 2003.

By:   
Name: Michelle Ann Domingo

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

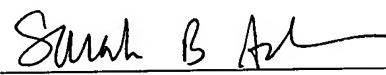
We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.8.
- ☒ Information Disclosure Statement (37 C.F.R. §1.97(b)(3))
- ☒ Form 1449 (Information Disclosure Statement)
- ☒ Exhibits 9 – 40
- ☒ Return postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0306. A duplicate of this sheet is enclosed.

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Reg. No.: 34,470  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Charles L. Sawyers, et al. **Examiner:** Not Yet Known  
**Serial No.:** 10/067,705 **Group Art Unit:** 1632  
**Filed:** February 4, 2002 **Docket No.:** 30435.53USD7  
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By: Richelle Ann Domingo

55 S. Lake Avenue, Suite 710  
Pasadena, California  
September 26, 2003

**INFORMATION DISCLOSURE STATEMENT**  
**(37 C.F.R. §1.97(b)(3))**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement should be considered because it is submitted before the mailing date of the first Office Action on the merits according to 37 C.F.R. §1.97(b)(3). In accordance with 37 C.F.R. §1.98(d), copies of Exhibits 9-40 as set forth in the Form 1449 are included herewith.

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. They are as follows:

- Aldrovandi, Grace M. et al., "The SCID-hu Mouse as a Model for HIV-1 Infection," *Nature*, June 24, 1993, 363:732-6. (Exhibit 9)
- Batson, Oscar V., "The Role of the Vertebral Veins in Metastatic Processes," *Ann. Int. Med.*, 1942, 16:38-45. (Exhibit 10)
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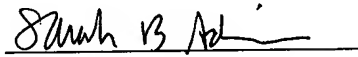
No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that the references have been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Charles L. Sawyers, et al.  
Serial No. 10/067,705  
Filed: February 4, 2002  
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No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-0306.

Respectfully submitted,



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(626) 395-7801  
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FORM 1449\*



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IN AN APPLICATION**

(Use several sheets if necessary)

Docket Number  
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Charles L. Sawyers et al.Filing Date  
February 4, 2002Group Art Unit  
1632**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

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\*Substitute Disclosure Statement Form (PTO-1449) Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FORM 1449\*



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